Name of warrant: VPB/5M/SSI/C/EU/Cash-09
Company name: Sai Gon Securities Incorporation
Subject: Decision on the change of listing

Content:

On February 04, 2021, the Hochiminh Stock Exchange issued Decision No.72/QD-SGDHCM to allow Sai Gon Securities Incorporation to change the listing as follow:

Issuer: Sai Gon Securities Incorporation

Short name: SSI

Security type: covered warrant

Warrant name: Warrant VPB/5M/SSI/C/EU/Cash-09

Warrant code: CVPB2016

Issuer of underlying security: VIETNAM PROSPERITY JOINT STOCK COMMERCIAL BANK

Underlying security: VPB Warrant type (call/put): Call

Warrant style: European-style

Method of exercise:

Duration:

Expiration date:

Cash

5 months

May 04, 2021

Conversion ratio: 1:1

Exercise price (exercise index): 27,000. VND

Number of warrants before increase/decrease: 7,000,000

Number of warrants increased/decreased: 2,800,000

Total number of warrants after increase/decrease: 4,200,000

Effective date of change: February 08, 2021

Official trading date:

Reason of change: Partial delisting